



09-30-05

ITEM  
3101  
RECEIPT

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbil No.

On an envelope addressed to the Commissioner for Patents & Trademarks at the United States Patent and Trademark Office, Alexandria, VA 22313-1450, at 703-308-7751 on the date shown below.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Date \_\_\_\_\_ Name \_\_\_\_\_  
Signature \_\_\_\_\_

Customer No.: 07278

Docket No.: 03723/0202265-USO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yuri Kurata et. al.

Serial No.: 10/522,659

Filed: August 2, 2005

For: TOPSHEET OF ABSORBENT ARTICLE, ABSORBENT ARTICLE USING THE TOPSHEET, AND METHOD FOR SELECTING/EVALUATING THE TOPSHEET  
-----

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

- ☒ incorrectly entered and/or  
☐ omitted.

### Correct data

- ☐ Applicant's name
- ☐ Applicant's address
- ☒ Title
- ☐ Filing Date
- ☐ Application Number
- ☐ Foreign/PCT Application Re:
- ☐ Other

# TOPSHEET OF ABSORBENT ARTICLE, ABSORBENT ARTICLE USING THE TOPSHEET, AND METHOD FOR SELECTING/EVALUATING THE TOPSHEET

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

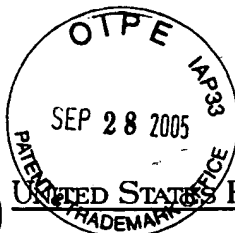
Respectfully submitted,

Dated: September 19, 2005



**Flynn Barrison**  
**Reg. No. 53,970**  
**Agent for Applicant(s)**

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/522,659	08/02/2005	3761	1030	03723/0202265-US0	1	6	3

CONFIRMATION NO. 8715

07278

DARBY &amp; DARBY P.C.

P. O. BOX 5257

NEW YORK, NY 10150-5257

## FILING RECEIPT



\*OC000000016859928\*

Date Mailed: 09/08/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yuri Kurata, Kagawa, JAPAN;  
Junko Sato, Kagawa, JAPAN;  
Nobuhiro Kurata, Kagawa, JAPAN;  
Yozo Yamada, Kagawa, JAPAN;  
Takayuki Hisanaka, Kagawa, JAPAN;  
Sonoko Ishimaru, Ohtsu-Shi, JAPAN;  
Hisao Nishinaka, Osaka-Shi, JAPAN;

## Assignment For Published Patent Application

UNI-CHARM CORPORATION, SHIKOKUCHUO-SHI, EHIME, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 07278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/09379 07/24/2003

## Foreign Applications

JAPAN 2002-216077 07/25/2002

Computer Law  
D&D Entry Law  
Looseleaf Law  
Folder Law  
Card \_\_\_\_\_  
Letter \_\_\_\_\_  
Foreign Flg. \_\_\_\_\_

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Top sheet of hygroscopic article, hygroscopic article using the top sheet, and selection and evaluation method for top sheet

**Preliminary Class**

604

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Express Mail Label No. \_\_\_\_\_

Dated: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is described and claimed and for which a patent is sought on the invention entitled:

**TOPSHEET OF ABSORBENT ARTICLE, ABSORBENT ARTICLE USING THE  
TOPSHEET, AND METHOD FOR SELECTING/EVALUATING THE TOPSHEET**

the specification of which was filed on July 24, 2003 as Application No. PCT/JP03/09379.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein. I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigned more than twelve months prior to this application.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

**FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☐ no such foreign applications have been filed

☒ such foreign application have been filed as follows:

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
2002-216077	JP	July 25, 2002	<u>  x  </u> Yes No <u>      </u>
			<u>      </u> Yes No <u>      </u>
			<u>      </u> Yes No <u>      </u>

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☒ no such U.S. provisional applications have been filed.

☐ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		<u>      </u> Yes No <u>      </u>
		<u>      </u> Yes No <u>      </u>
		<u>      </u> Yes No <u>      </u>

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number

07278

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Customer Number 07278, whose address is:

**Darby & Darby P.C.**  
P.O. Box 5257  
New York, New York 10150-5257

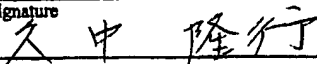


Full name of sole or first inventor <b>Yuri Kurata</b>	
Sole or first inventor's signature 倉田 有里	Date 5/4/2005
Residence <b>Kagawa, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Technical Center, Uni-Charm Corporation 1531-7, Takasuka, Wadahama, Toyohama-cho Mitoyo-Gun, Kagawa 769-1602; JAPAN	

Full name of second inventor, if any <b>Junko Sato</b>	
Second inventor's signature 佐藤 純子	Date 5/4/2005
Residence <b>Kagawa, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Technical Center, Uni-Charm Corporation 1531-7, Takasuka, Wadahama, Toyohama-cho Mitoyo-Gun, Kagawa 769-1602; JAPAN	

Full name of third inventor, if any <b>Nobuhiro Kurata</b>	
Third inventor's signature Kurata Nobuhiro	Date 5/4/2005
Residence <b>Kagawa, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Technical Center, Uni-Charm Corporation 1531-7, Takasuka, Wadahama, Toyohama-cho Mitoyo-Gun, Kagawa 769-1602; JAPAN	

Full name of fourth inventor, if any <b>Yozo Yamada</b>	
Fourth inventor's signature 山田 洋三	Date 5/4/2005
Residence <b>Kagawa, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Technical Center, Uni-Charm Corporation 1531-7, Takasuka, Wadahama, Toyohama-cho Mitoyo-Gun, Kagawa 769-1602; JAPAN	

Full name of fifth inventor, if any <b>Takayuki Hisanaka</b>	
Fifth inventor's signature 	Date 5 / 4 / 2005
Residence <b>Kagawa, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Technical Center, Uni-Charm Corporation 1531-7, Takasuka, Wadahama, Toyohama-cho Mitoyo-Gun, Kagawa 769-1602; JAPAN	

Full name of sixth inventor, if any <b>Sonoko Ishimaru</b>	
Sixth inventor's signature	Date
Residence <b>Ohtsu-Shi, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Toyobo Co., Ltd. Research Center 1-1, Katata 2-chome Shiga, Ohtsu-Shi 520-0243; JAPAN	

Full name of seventh inventor, if any <b>Hisao Nishinaka</b>	
Seventh inventor's signature	Date
Residence <b>Osaka-Shi, Japan</b>	
Citizenship <b>Japan</b>	
Mailing Address c/o Toyobo Co., Ltd. 2-8, Dojimahama 2-chome, Kita-ku Osaka, Osaka-Shi 530-8230; JAPAN	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	



PTO/SB/97 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Application No. (if known): 10/522,659

Attorney Docket No.: 03723/0202265-US0

**Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being mailed to the United States Patent and Trademark Office.

on September 28, 2005.  
Date

**EXPRESS MAIL CERTIFICATE**Date 9/28/05 Label No. 692131258-US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

B.W. LEE B.W. Lee  
Name (Print) Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Request for Corrected filing Receipt (2 pp.)

Copy of Filing Receipt

Copy of Declaration(5pp)

Copy of stamped return receipt postcard (1 p.);

Copy of Express Mail Label (1 p.)